



Selected Resources

Human Rights-Based Monitoring and Evaluation

Articles and reviews on human rights-based monitoring and evaluation

Food and Agriculture Organisation (FAO) (2008), *Methods to monitor the human rights to adequate food*

This publication contains methodological and practical information related to monitoring. The study seeks to advise states on the implementation of the right to food. Volume I concentrates on creating a common understanding of what rights-based monitoring means. Volume II provides a detailed overview of various methods and approaches relevant to monitoring the right to food, and discusses how to select indicators and conduct rights-focused assessments.

- Volume 1:
<http://www.fao.org/docrep/011/i0349e/i0349e00.htm>
- Volume 2:
<http://www.fao.org/3/a-i0351e.pdf>

The Advocates for Human Rights (2011), *A practitioner's guide to human rights monitoring, documentation, and advocacy*

This guide is a step by step introduction for advocates to the use of human rights monitoring and documentation. It provides background information, case examples and practitioners' tips. In addition, it describes steps for implementation, such as the structure and procedure for successful interviews.

- http://www.theadvocatesforhumanrights.org/uploads/practitioners_guide_final_report.pdf

Guidelines for human rights-based programming, monitoring and evaluation

UNDP (2006), *Applying a human rights-based approach to development cooperation and programming: A UNDP capacity development resource*

This guide seeks to help development practitioners in applying a human rights-based approach (HRBA) in practice. Section VII and VIII deal specifically with monitoring/ evaluation and indicators.

- http://waterwiki.net/images/e/ee/Applying_HRBA_To_Development_Programming.pdf

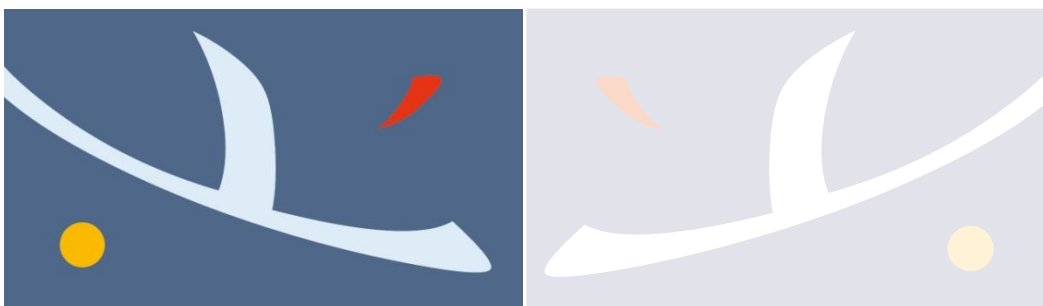
UN Practitioners' Portal on HRBA to Programming – Resources on monitoring and evaluation

An extensive collection of resources on human rights-based monitoring and evaluation.

- <http://hrbaportal.org/archives/tools/monitoring-and-evaluation>

Guidelines and indicators for evaluating projects in support of democracy and human rights

Office of the UN High Commissioner for Human Rights (OHCHR) (2012), *Human rights indicators – a guide to measurement and implementation*, HR/PUB/12/5



The objective of this guide is to bring together materials covering conceptual, the methodological and the empirical aspects to identify context-sensitive indicators to promote and monitor the implementation of human rights. The guide provides elements of a framework for building the capacity of human rights monitoring systems. It is structured along the lines of the questions: *Why? Who? What? How? Where? When?*

- http://www.ohchr.org/Documents/Publications/Human_rights_indicators_en.pdf

OHCHR, Human rights indicators page

This page provides a helpful overview of tools to measuring progress on the implementation of human rights, relevant UN publications and useful data sources.

- <http://www.ohchr.org/EN/Issues/Indicators/Pages/HRIndicatorsIndex.aspx>

OHCHR (2008), Report on indicators for promoting and monitoring the implementation of human rights (HRI/MC/2008/3)

See Annex 1 for a list of indicators at policy, process and outcome levels for a selection of human rights standards. The indicators are meant for monitoring human rights compliance at country level. They can, however, also provide orientation for project-level indicators.

- <http://www2.ohchr.org/english/bodies/icm-mc/docs/HRI.MC.2008.3EN.pdf>

McInerney, Siobhán/Hans-Otto Sano (2010), Human rights indicators in development – An introduction, The World Bank

This comprehensive introduction paper elaborates on the design and use of human rights indicators, and points out their pivotal role for monitoring and measuring the implementation of human rights. The study pursues a theoretical approach but is intended as an introduction for practitioners in particular.

- http://siteresources.worldbank.org/EXTLAWJUSTICE/Resources/HumanRightsWP10_Final.pdf

Andersen, Erik André/Hans-Otto Sano (2006), Human rights indicators at programme and project level – Guidelines for defining indicators for monitoring and evaluation, Danish Institute for Human Rights

The objective of this manual is to provide human rights workers with a set of tools by which to plan, monitor and evaluate human rights projects.

- <http://humanrights.dk/files/media/billeder/udgivelser/indikatormanualwebpdf.pdf>

International Institute for Democracy and Electoral Assistance/ Swedish International Development Cooperation Agency (SIDA) (2007), Evaluating democracy and human rights support – Methods and experiences

This book introduces some recent contributions to the debate on how to improve the evaluation of democracy support. Chapter 5 focuses on the human rights-based approach to the evaluation of democracy support in particular.

- http://www.idea.int/publications/evaluating_democracy_support/upload/evaluating_democracy_support_cropped.pdf

Dawidson, Karin/Karolina Hulterström (2006), Improving democracy and human rights support – Recommendations for the use of indicators based on the case Mozambique, SADEV report 2006:1

This report explains methods for improving the planning, follow-up and evaluation of democracy and human rights support. The study also presents a set of guidelines for decision-makers and practitioners in their use of indicators.

- <http://www.oecd.org/derec/sweden/dem.pdf>

OECD (2008), Measuring human rights and democratic governance: Experiences and lessons from Metagora, OECD Journal on Development: Vol. 9 Issue 2

This special issue of the *OECD Journal on Development* focuses on methods and tools for assessing human rights, democracy and governance. It provides decision makers, policy actors, analysts and civil society with first-hand materials and examples on how statistics can be created and indicators be identified and used in this field.

- http://www.paris21.org/sites/default/files/Metagora-final_EN.pdf

UNDP (2006), Indicators for human rights-based approaches to development in UNDP programming: A user's guide

This UNDP guide comprehends a review of the main existing indicators for human rights-based programming and discusses their limitations. Chapter 5 focuses on developing appropriate indicators; Chapter 6 develops recommendations on the use of these indicators during all phases of programme design, implementation, monitoring and evaluation.

- <http://gaportal.org/sites/default/files/HRBA%20indicators%20guide.pdf>

Guidelines for human rights impact assessments (HRIA)

The World Bank (2013), Human rights impact assessments: A review of the literature, differences with other forms of assessments and relevance for development

The purpose of this report is to review the essential elements of HRIAs in order to assess their current content, methodology and use, as well as their relevance to development policy and practice. It compares those with other forms of assessments, such as environmental or social impact assessments. By comparing the two sets of tools, the study draws out the similarities between them as well as the value added of HRIAs.

- <http://www.ifc.org/wps/wcm/connect/8ecd35004c0cb230884bc9ec6f601fe4/hriam-guide-092011.pdf?MOD=AJPERES>



UNICEF/ Danish Institute for Human Rights (2013), Children's rights in impact assessments – A guide for integrating children's rights into impact assessments and taking action for children

This tool is designed to guide companies in assessing their policies and processes. It attempts to identify assessment criteria and gives corresponding recommendations for concrete action.

- [http://www.unicef.org/csr/css/Children's Rights in Impact Assessments Web_161213.pdf](http://www.unicef.org/csr/css/Children's_Rights_in_Impact_Assessments_Web_161213.pdf)

UN Special Rapporteur on the Right to Food, Olivier de Schutter (2011), Guiding principles on human rights impact assessments of trade and investment agreements, A/HRC/19/59/Add.5

The guiding principles are aimed at providing States with guidance on how best to ensure that the trade and investment agreements they conclude are consistent with their obligations under international human rights instruments.

- http://www.ohchr.org/Documents/HRBodies/HRCouncil/RegularSession/Session19/A-HRC-19-59-Add5_en.pdf

Institute for Development and Peace (INEF), Universität Duisburg-Essen

INEF has conducted and published a series of research results on human rights, corporate responsibility and sustainable development. A number of publications may provide orientation on how to apply HRIAs in specific country contexts.

- Human rights impact assessments for implementing corporate responsibility (2012), focusing on conceptual challenges and practical approaches:
http://www.humanrights-business.org/files/hria_english_final_1.pdf

- Human rights impact assessment of the Tampakan copper gold project, Mindanao, Philippines (2013), an aggregated analysis of the conditions required to allow for the realisation of human rights, as well as of compliance with duties and responsibilities by state agencies and companies:
http://www.misereor.org/fileadmin/redaktion/HRIA_Human_Rights_Impact_Assessment_Tampakan_Copper-Gold_Project_August2013.pdf

Baxewanos, Fabiane/Werner Raza (2013), Human rights impact assessments as a new tool for development policy?, Austrian Foundation for Development Research (ÖFSE)

This paper broaches the added value of a human rights perspective to impact assessments. It explains the normative basis of HRIAs and introduces various instruments. Furthermore, it presents methodological tools for HRIA and identifies potential challenges. The paper concludes with an outline of fields of application of the HRIA and recommendations.

- http://www.oefse.at/fileadmin/content/Downloads/Publikationen/Workingpaper/WP37_Human_Rights.pdf

Equality and Human Rights Commission UK (2009), Equality impact assessment guidance – A step-by-step guide to integrating equality impact assessment into policymaking and review

This is a very user-friendly guide on impact assessments within the public sector with practical information, supported by examples.

- <http://www.reading.ac.uk/web/files/humanresources/humres-eiaguidance.pdf>

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